# BMJ Open Biomarker Research in ADHD: the Impact of Nutrition (BRAIN) - study protocol of an open-label trial to investigate the mechanisms underlying the effects of a few-foods diet on ADHD symptoms in children

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#### **ABSTRACT**

**Introduction** Attention deficit hyperactivity disorder (ADHD) is the most common childhood behavioural disorder, causing significant impediment to a child's development. It is a complex disorder with numerous contributing (epi)genetic and environmental factors. Currently, treatment consists of behavioural and pharmacological therapy. However, ADHD medication is associated with several side effects, and concerns about long-term effects and efficacy exist. Therefore, there is considerable interest in the development of alternative treatment options. Double-blind research investigating the effects of a few-foods diet (FFD) has demonstrated a significant decrease in ADHD symptoms following an FFD. However, an FFD requires a considerable effort of both child and parents, limiting its applicability as a general ADHD treatment. To make FFD intervention less challenging or potentially obsolete, we need to understand how, and in which children, an FFD affects ADHD behaviour and, consequently, the child's well-being. We hypothesise that an FFD affects brain function, and that the nutritional impact on ADHD is effectuated by a complex interplay between the microbiota, gut and brain, that is, the microbiota-gut-brain axis.

Methods and analysis The Biomarker Research in ADHD: the Impact of Nutrition (BRAIN) study is an open-label trial with researchers blinded to changes in ADHD symptoms during sample processing and initial data analyses. Ethics and dissemination The Medical Research and

Ethics Committee of Wageningen University has approved this study (NL63851.081.17, application 17/24). Results will be disseminated through peer-reviewed journal publications, conference presentations, (social) media and the BRAIN study website. A summary of the findings will be provided to the participants.

Trial registration number NCT03440346.

Study dates Collection of primary outcome data started in March 2018 and will be ongoing until 100 children have participated in the study. Sample data analysis will start after all samples have been collected.

# Strengths and limitations of this study

- Using unbiased, high-resolution brain imaging and molecular analyses of the microbiota-gut-brain (MGB) axis, the Biomarker Research in ADHD: the Impact of Nutrition (BRAIN) study aims to unravel the mechanism(s) that underlie favourable responses of children with attention deficit hyperactivity disorder (ADHD) to a few-foods diet (FFD).
- Behavioural changes will be assessed using three independent methods, that is, (1) functional MRI to assess brain activity, (2) a quantitative behaviour test and (3) standardised questionnaires.
- The effects of an FFD on the biologically relevant catecholamine neurotransmitter system and related brain regions are evaluated, while multiomics data of MGB axis parameters will allow for an unbiased approach to identify other candidate molecules that may (co)determine FFD effects.
- Identification of baseline molecular signatures (ie, findings prior to intervention) that can predict whether a participant belongs to the FFD responder or non-responder group may lead to the discovery of biomarkers predicting FFD efficacy in future participants.
- To increase the probability of finding potential mechanism(s) and biomarkers, the BRAIN study requires a relatively homogeneous study population.

### INTRODUCTION

Attention deficit hyperactivity disorder (ADHD) affects 6% of all children worldwide<sup>1</sup> and is characterised by inattentive, hyperactive and/or impulsive behaviour.<sup>2</sup> The majority of children with ADHD are also diagnosed with other psychiatric disorders, including oppositional defiant disorder (ODD), conduct disorder, and autism



spectrum disorder (ASD).<sup>3–5</sup> ADHD can cause long-term impairment and often persists into adulthood.<sup>6</sup>

ADHD is considered a complex multifactorial disorder with numerous contributing (epi)genetic and environmental factors. Heritability (h<sup>2</sup>) of the disorder is estimated at 0.75-0.917 and many genetic variants, often related to neurotransmission and neurodevelopment, 89 are associated with an increased risk of developing ADHD.<sup>10</sup> 11 Epigenetic regulation of gene activity, such as differential DNA methylation of genes related to neurotransmission, 12 neurodevelopment and peroxisomal processes, is also associated with ADHD. 13 Environmental risk factors of ADHD include pre, peri and postnatal factors, such as prematurity, low birth weight, in utero exposure to smoking, alcohol and drugs, psychosocial conditions and diet. 14 15 A combination of (epi) genetic factors may underlie the susceptibility to environmental factors for triggering ADHD, 15 however, the exact aetiology of ADHD remains unknown.

Currently, ADHD treatment predominantly consists of psychoeducation, behavioural therapy and medication.<sup>16</sup> Drug treatment is deemed superior for children with severe ADHD impairment.<sup>16</sup> Methylphenidate (MPH), a dopamine-noradrenaline reuptake inhibitor, is the most prescribed drug with positive behavioural effects in children with ADHD. 17 However, the studies that have investigated the effect of MPH had a risk of bias and lowquality outcomes.<sup>17</sup> In addition, 25% of children taking MPH suffer from side effects, <sup>17</sup> complete normalisation of behaviour is rare, and non-adherence due to concerns about long-term effects is common. 18-23 Furthermore, MPH is not effective 24hours a day; when the medication has worn off, symptoms return, sometimes even with increased intensity.<sup>24</sup> Therefore, novel therapies, aimed to intervene on the underlying causes or triggers of ADHD, are needed.

One of these novel therapies might be dietary treatment. Meta-analysis has demonstrated that elimination of many foods and additives, the so-called few-foods diet (FFD), substantially reduced ADHD symptoms. During an FFD, children follow a restricted diet for several weeks, in which they consume only a few types of food (eg, rice, meat, vegetables, pear and water), initially complemented with foods like potato, several fruits and wheat. He FFD is not intended as a long-term treatment, but functions as a diagnostic tool to determine whether a child responds to dietary restriction. If a child is responsive to the diagnostic FFD, an individually tailored and more diverse diet can be designed after repeated challenges have identified the foods that trigger ADHD symptoms. He immediately support to the diagnostic feather than the foods that trigger ADHD symptoms.

All randomised controlled trials (RCTs)<sup>26–29</sup> <sup>31–34</sup> applying an FFD in children with ADHD have shown positive effect sizes,<sup>20</sup> <sup>35</sup> and according to a meta-analysis by Nigg *et al*, 33% of children with ADHD benefited from dietary intervention.<sup>36</sup> In the most recent RCT, 64% of a selected subgroup of young children with ADHD responded favourably to the FFD.<sup>26</sup> However, an FFD is burdensome, and adherence is most often successful in

motivated and highly structured families.<sup>37</sup> For large-scale implementation, simplified dietary treatments should be developed. This requires a detailed understanding of the mechanisms underlying a favourable response to an FFD. However, it is currently unknown how an FFD intervention mediates a decrease of ADHD symptoms in children.

This study will investigate whether an FFD modulates ADHD behaviour through the complex network of molecular communication between the microbiota, gut and brain. Potential candidates are the catecholaminergic neurotransmitters dopamine and norepinephrine, which play an important role in cognitive processes that are often impaired in children with ADHD, such as response inhibition, response conflict and associated error monitoring.<sup>38–43</sup> Therefore, this study will primarily assess whether the FFD-induced changes in ADHD symptoms are related to changes in (1) neural activation in brain regions related to response inhibition/conflict. 44-47 (2) functional composition of the gut microbiota related to the metabolism of the dopamine and norepinephrine precursors phenylalanine and tyrosine 48-50 and (3) peripheral blood levels of phenylalanine and tyrosine.<sup>50</sup> Second, a multiomics approach, 51-53 including profiling of the microbiome, transcriptome, metabolome, methylome and proteome, will be used to unravel the molecular communication in the microbiota–gut–brain (MGB) axis in an unbiased way.

By providing a better understanding of the mechanism(s) that underlie an FFD response, the results of this study can contribute to the development of more effective treatments for ADHD, preventive measures and possibilities for stratification and personalised treatments, that is, starting either treatment as usual or diet therapy, based on the individual's MGB axis configuration.

# METHODS AND ANALYSIS Study design and registration

This open-label trial, carried out at Wageningen University & Research (trial sponsor), will investigate the effects of an FFD on brain function and the MGB axis in relation to changes in ADHD symptoms in right-handed boys with ADHD, aged 8 up to and including 10 years. All participants will follow the FFD. Consequently, parents, children and clinicians cannot be blinded. After screening (T0), eligible participants will start with a 2-week baseline period in which they will maintain and record their regular diet. Thereafter, participants will follow a 5-week FFD preceded by a 1-week transition period. 26 27 54 To improve adherence, parents will receive a diet plan and a recipe book. During the 5-week period, parents and FFD researcher will discuss the child's diet and behaviour regularly (at least once a week). A schematic overview of the study design is provided in figure 1. Brain function and MGB axis parameters, as well as psychiatric and physical parameters, will be assessed before (T1) and at the end of the 5-week FFD period (T2). An overview of all

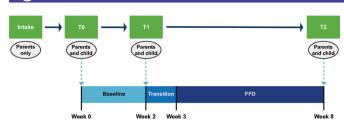


Figure 1 Schematic overview of the BRAIN study design. FFD, few-foods diet. BRAIN, Biomarker Research in ADHD: the Impact of Nutrition.

study parameters and assessment time points is shown in table 1.

This study was registered on ClinicalTrials.gov (number NCT03440346) in February 2018. Due to our misinterpretation of the submission and release procedure, the first informed consent and informed assent forms were unintentionally collected 2 days before the release of the protocol on the website of ClinicalTrials.gov. However, no primary outcome data were collected before the formal release of the study protocol, and no changes in study design or outcome parameter definitions were made between submission and registration date.

### **Aims and objectives**

- 1. Primary objectives
  - a. To investigate whether changes in the metabolism of the dopamine and norepinephrine precursors phenylalanine and tyrosine are related to FFD-induced changes in ADHD symptoms.
  - b. To investigate whether FFD-induced changes in ADHD symptoms are accompanied by changes in brain activity in functionally related brain regions during task performance, assessing response inhibition/conflict and associated error monitoring.
- 2. Secondary objectives
  - a. To explore alternative or synergistic mechanisms underlying the effect of an FFD on ADHD symptoms.
  - b. To identify biomarkers that predict the response of an individual child to an FFD.
  - c. To determine whether FFD-induced changes in ADHD symptoms correlate with other physical and behavioural parameters, that is, executive functioning (sustained attention and inhibition), ODD symptoms, social behaviour, physical complaints and stool frequency and type.

# **Study population**

Boys (≥8 and ≤10 years old) meeting the Diagnostic and Statistical Manual of Mental Disorders, fourth edition (DSM-IV) criteria of ADHD<sup>2</sup> are considered eligible for inclusion. The lower age limit is set because functional MRI (fMRI) task failure is negligible in children ≥8 years old. 55 The upper age limit is set because adherence to the FFD can be more successfully achieved in younger children. Due to gender differences<sup>56</sup> and functional specialisation of the brain in left-handed and right-handed

individuals,<sup>57</sup> the study population is restricted to righthanded boys.

Children who meet at least one of the following criteria are excluded for participation: (1) diagnosis of ASD, developmental coordination disorder, chronic gastrointestinal disorder, autoimmune disorder, dyslexia or dyscalculia, (2) premature birth (<36 weeks) and/or oxygen deprivation during birth, (3) vegetarian/vegan, (4) IQ<85, (5) use of systemic antibiotics, antifungals, antivirals or antiparasitics in the past 6 months, <sup>58</sup> (6) insufficient command of the Dutch language by either parents or child, (7) family circumstances that may compromise following or completion of the diet and (8) having a contraindication to MRI scanning. After T1, participants can be withdrawn or excluded from the trial if the child or family does not comply with the instructions, or if family circumstances interfere with the study compliance.

# **Primary outcome parameters**

# **ADHD** symptoms

The 18-item ADHD rating scale (ARS)<sup>59</sup> based on the DSM-IV<sup>2</sup> is the primary outcome measurement of ADHD symptoms in this study. The ARS will be completed by the parents (at T0, T1 and T2), and, if possible, by the child's teacher (at T1 and T2). The ADHD symptoms will be rated using a point-scoring system (0-54 points). 26 By comparing the scores at T0 and T1, the variation in the child's behaviour will be assessed; the difference between the T1 and T2 scores will determine the response to the FFD, expressed as a percentage. This percentage will be included in separate analyses as a continuous and as a dichotomous variable (ie, by classifying subjects as FFD responders (≥40% reduction) or non-responders (<40% reduction)).

### **Neural activation**

As measures of neural activation have been shown to be more robust in demonstrating the nature of response inhibition deficits in ADHD than task performance alone, 60 61 structural and fMRI scans will be conducted during T1 and T2 (at Gelderse Vallei Hospital, Ede, The Netherlands). A structural scan will serve as a reference for further functional scans. Blood oxygen-leveldependent (BOLD) signal changes will be measured while performing cognitive tasks that assess inhibitory control and selective attention, 62 that is, a stopsignal task (response inhibition)<sup>47</sup> and a Flanker task (response conflict and associated error monitoring).<sup>63</sup> fMRI BOLD responses will be assessed between successful and unsuccessful stop or go events (stop task) and between incongruent and congruent events (Flanker task). As a primary activation outcome, effects of the response to the diet will be assessed in functionally defined brain regions of interest (ROI), based on the main effects of tasks across subjects and measurements T1 and T2 (ie, Stop Success >StopFail/Go and Incongruent > Congruent).

		T0	Baseline period—regular diet (2 weeks)	T1 Week 2	Diet phase (1-week	T2 Week 8
	Intake	Week 0			transition – 5 weeks FFD)	
Brain activity and MGB axis parame	eters					
fMRI	_	MOCK		+		+
QbTest	_	_		+		+
Microbiota (stool)	-	+		+		+
Metabolites (plasma, urine and optionally stool)	-	-		+		+
Gene expression (PBMCs)	-	_		+		+
Protein biomarkers (plasma)	_	_		+		+
Genotype (buccal cells)	_	_		+		-
DNA methylation (buccal cells)	_	_		+		+
ADHD and physical parameters						
Researcher and parents						
Informed consent parents	+	_		_		-
Intake form	+	-		_		_
ARS	+	-		_		_
Parents						
CSBQ	_	_		+		+
Child						
mBSCF-C (in the week prior to T1 and T2)	-	-		+		+
Orthopaedagogue and child						
IQ-test*	-	IF REQUIRED		-		-
Paediatrician, parents and child						
Informed assent child	_	+		_		-
Physical examination	_	+		_		_
Verification diagnosis or setting research diagnosis	-	+		_		-
FFD researcher and parents						
ARS and ACS	_	+		+		+
SPI (ODD)	_	_		+		+
PCQ	_	_		+		+
FFD researcher and teacher					'	
ARS and ACS	_	_		+		+
SPI (ODD)	_	_		+		+

Green: parameter collected; -:, parameter not collected.

# Abundance of genes encoding phenylalanine and tyrosine metabolism enzymes in the gut microbiota

Metagenome profiling will be performed on stool samples collected prior to T1 and T2. The primary focus will be on the abundance of 21 selected microbiota genes that encode enzymes directly involved in the production or degradation of the dopamine and norepinephrine precursors phenylalanine and tyrosine (EC numbers:

1.10.3.1, 1.14.16.1, 1.14.18.1, 1.3.1.43, 1.3.1.78, 1.3.1.79, 1.4.1.20, 1.4.3.2, 2.6.1.1, 2.6.1.5, 2.6.1.57, 2.6.1.58, 2.6.1.9, 4.1.1.25, 4.1.1.28, 4.1.99.2, 4.2.1.51, 4.2.1.91, 4.3.1.23, 4.3.1.24, 5.4.3.6; enzyme activities associated with EC numbers can be found at https://www.brenda-enzymes.org/). Abundance profiling will be carried out using HUMAnN2, 64 an in silico tool for profiling of microbial genes and biochemical pathways in a community from

<sup>\*</sup>If no IQ test results of the past year are available, an abbreviated IQ test based on the Wechsler Intelligence Scale for Children-III will be conducted. ACS, abbreviated Conners' scale;ADHD, attention deficit hyperactivity disorder; ARS, ADHD Rating Scale; CSBQ, Children's Social and Behavioural Questionnaire;FFD, few-foods diet; fMRI, functional MRI; mBSCF-C, modified Bristol Stool Scale form for Children;MGB, microbiota gut brain; ODD, oppositional defiant disorder; PBMCs, peripheral blood mononuclear cells; PCQ, Physical Complaints Questionnaire; QbTest, quantitative behaviour test; SPI, structured psychiatric interview.



metagenomic sequencing data, or an alternative custom workflow, for example, as described by Yarygin *et al.*<sup>65</sup> Pipeline choice will be made prior to data analysis, based on the current state of the art.

### Peripheral blood levels of phenylalanine and tyrosine

Metabolite profiles will be examined in plasma obtained from whole blood and urine (collected at T1 and T2), and analyses will be performed using advanced mass spectrometry. Primary parameters include the levels of phenylalanine and tyrosine in blood. 38-42 50

# **Secondary outcome parameters**

### Whole brain neural activation patterns

In addition to ROI analyses, task-related fMRI BOLD responses will be assessed using whole brain imaging analyses. ADHD-related differences in functional neural connectivity have been shown in resting state fMRI analyses, <sup>66</sup> and a reduction in inattentive ADHD symptoms after MPH treatment was associated with changes in resting-state parameters. <sup>67</sup> Therefore, resting-state scans will also be performed at T1 and T2, to analyse intrinsic brain connectivity and its association with the response to the FFD. To correct for intersubject variability, group analyses (eg, comparisons between responders and non-responders) will be performed on T2 corrected for T1 per subject.

### **Executive function assessments**

Executive functioning measurements related to ADHD symptoms, for example, sustained attention and behavioural inhibition, <sup>35</sup> <sup>69</sup> will be conducted during T1 and T2, using the quantitative behaviour test. <sup>69</sup> <sup>70</sup> This continuous performance test was developed for neuropsychological assessment of ADHD in research and clinical settings and assesses sustained attention, behavioural inhibition and physical movements. The stop-signal <sup>44</sup> <sup>71</sup> and Flanker tests, <sup>63</sup> conducted during fMRI and providing information about response times, stop-signal reaction times and commission errors, will also be used for executive functioning analysis.

# Related assessments to ADHD, comorbid psychiatric disorders and physical complaints

In addition to the ARS, the Abbreviated Conners' Scale (ACS) will be used to assess ADHD symptoms. The ACS is frequently used in ADHD research<sup>26–29</sup> to measure hyperactivity, impulsivity, inattention, mood and temper tantrums.<sup>72</sup> The maximum total score is 30; a total score of more than 15 points is indicative for behavioural problems.

Two comorbid psychiatric disorders, ODD and ASD symptoms, <sup>3–5</sup> will be assessed during T1 and T2. To assess the ODD symptoms, the eight DSM-IV<sup>2</sup> criteria that are part of the structured psychiatric interview<sup>26</sup> will be used; ODD criteria are met when at least four out of eight symptoms occur at least thrice a week. To assess ASD symptoms, the Children's Social and Behavioural Questionnaire (CSBQ) is used. The CSBQ has been previously

used in children with ADHD to assess social behaviour and is applied to measure a wide spectrum of ASD symptoms, including milder, subclinical symptoms in children with ADHD.  $^{73-75}$ 

Many children with ADHD suffer from physical complaints, <sup>27–29 54</sup> and the FFD can also affect these comorbid physical conditions. <sup>29 54</sup> Therefore, a Physical Complaints Questionnaire (PCQ) <sup>54</sup> is taken at T0 and at T2.

### MGB axis parameters

The taxonomic and functional composition of the microbiota at T1 and T2 will be determined by stool metagenome analysis. By comparing the T0 and T1 stool samples, within-participant variation in the gut microbiota can be estimated. Metagenomic DNA sequencing data will be analysed using reference-based methods such as MetaPhlAn2<sup>76</sup> and via methods that are part of the CLC Genomics Workbench tool (Qiagen). The functional capacity of the microbiota will be predicted with tools such as HUMAnN2.<sup>64</sup> 16S rRNA gene sequencing data may be analysed with pipelines such as QIIME<sup>77</sup> or the CLC Genomics Workbench. Pipeline choice will be made prior to data analysis, based on the current state of the art. In all these analyses, rarefying methods ('subsampling' of sequence reads) will be omitted as these may lead to a loss of power and pose a risk for bias towards false positives. These methods will be replaced by alternative methods, including negative binomial models.<sup>78</sup>

Children with ADHD often suffer from comorbid constipation or faecal incontinence, <sup>79</sup> which can potentially be relieved by following an FFD. <sup>54</sup> Since stool frequency and type are dependent on the microbiome composition, <sup>80</sup> both aspects will be recorded by the child in the week prior to T1 and T2, using the modified Bristol stool scale form for children (mBSCF-C). <sup>81</sup> 82 The mBSCF-C, based on the Bristol stool scale form, <sup>83</sup> consists of five categorical stool consistency types and has been demonstrated to be reliable in a paediatric context. <sup>81</sup> 82

### Peripheral blood mononuclear cell gene expression

Changes in the gene expression profile of peripheral blood mononuclear cells (PBMCs) will be analysed from fasting blood samples collected at T1 and T2. Gene expression profiles will be determined using RNA sequencing.

### Metabolite profiling

Metabolite profiles will be examined in plasma, urine and optionally in stool, collected at T1 and T2. Metabolite analyses will be performed using a pipeline based on advanced mass spectrometry for the accurate quantification of hundreds of metabolites related to amino acid, cofactor and vitamin, nucleotide, carbohydrate, energy and lipid metabolism.

# Peripheral blood protein biomarkers

In peripheral blood, a large panel of proteins, representing neurological, immunological and metabolic markers, will be profiled by implementation of quantitative

immunoassays or proteomics, using multiplex ELISA approaches. For this analysis, we will use multiplex ELISA panels including chemokines, cytokines, inflammation markers, growth factors and/or metabolic markers.

### DNA polymorphisms and genotyping

Genome-wide profiling of single nucleotide polymorphisms, copy number variants, insertions and deletions will be performed using microarray platforms. Genotyping will be conducted on DNA isolated from buccal cells or PBMCs. Differences in allele frequencies between FFD responders and non-responders will be determined using association analysis in software packages for the analysis of genotyping data such as PLINK.<sup>84</sup>

### **DNA** methylation

Genome-wide profiling of differential DNA methylation status will be analysed using the Illumina Infinium MethylationEPIC beadchip microarray platform in DNA isolated from buccal cells or PBMCs. DNA from PBMCs is preferred for this analysis. Should the collected material from PBMCs not be sufficient, then DNA from buccal cells will be used for all time points and subjects. This analysis will use samples taken at T1 and T2. Standardised protocols will be used for processing of raw data and downstream data analyses, for example, MethylAid<sup>85</sup> or RnBeads.<sup>86</sup> Pipeline choice will be made prior to data analysis, based on the current state of the art.

# Sample size calculation

This study aims to assess the effects of an FFD on brain and MGB axis parameters in relation to ADHD symptoms. FFD responders are defined as children showing at least 40% reduction on the ARS at T2, compared with T1. It is assumed that 55% of the children will respond to the FFD, 25% will not respond to the FFD ( $n_{\rm responder}/n_{\rm non-responder}=2.2$ ), and 20% will drop out. It is assumed that 55% of the children will respond to the FFD ( $n_{\rm responder}/n_{\rm non-responder}=2.2$ ), and 20% will drop out.

To our best knowledge, no studies have been published that were conducted on a cohort of ADHD patients and that investigated the effect of an FFD on the brain and MGB axis parameters in relation to ADHD symptoms. Consequently, due to the lack of data on the amplitude and variability in MGB axis parameters induced by an FFD, it is not straightforward to estimate the required sample size to obtain adequate levels of statistical power. Therefore, we based our sample size estimates for primary study outcome parameters on publicly available datasets serving as a proxy for the expected differences and variation.

Sample size calculations were conducted in G\*Power<sup>87</sup> (V.3.1.9.2), using t statistics and comparing two-sample independent means (two tailed). To have a sufficient likelihood of detecting a difference between FFD responders and non-responders for the primary study outcomes, we aim to achieve at least 80% power with a Bonferroniadjusted error probability of 0.002 (0.05/25) and an outcome allocation ratio ( $n_{\text{responder}}/n_{\text{non-responder}}$ ) of 2.2. Based on the sample size estimates per outcome variable,

as specified below, we will include 100 participants. Of note, this sample size calculation is conservative, since it is based on a simple Student's t-test comparing the primary measurements between responders and non-responders. The actual analysis (eg, multiple linear regression analysis) will most likely provide additional power.

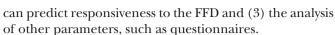
- 1. Neural activation: In a study on striatal activation during task performance in boys with ADHD (n=10; 8–13 years) and healthy controls (n=6; 8–12 years), activation was higher in controls than in boys with ADHD (Cohen's d: 1.33±0.13). The required sample size to detect this difference in striatal activation is 46 (n<sub>responder</sub>=32; n<sub>non-responder</sub>=14). We expect a comparable difference between FFD responders and non-responders, as the response to the FFD can be to such extent, that responders no longer meet the criteria for ADHD. 26
- 2. Phenylalanine and tyrosine plasma levels: In a study on the effect of a diet intervention trial in 66 healthy adults (31 male and 35 female; 18–70 years), baseline plasma metabolites were used to predict outcome (liver dysfunction or no dysfunction; Cohen's d:  $1.03\pm0.24$ ). The required sample size to detect these differences in metabolites is 74 ( $n_{\text{responder}}$ =51 and  $n_{\text{non-responder}}$ =23).
- 3. Gene abundance in stool microbiota related to phenylalanine and tyrosine metabolism: As no suitable, publicly available dataset was present at the time of writing this protocol, it was anticipated that gene abundance differences between FFD responders and non-responders will be 25%, with a variance of 25% (Cohen's d: 1.00±0.25). To detect this difference, a sample size of 70 is required (n<sub>responder</sub>=48 and n<sub>non-responder</sub>=22).

### **Statistical analysis**

During initial data processing and primary analyses, the researchers involved in the analysis of laboratory and fMRI data will be blinded to the ADHD symptom scores. On completion of the full data set for all data types, ADHD symptoms scores will be added to reveal responder/non-responder status for each participant.

To test our primary hypothesis, 25 outcome parameters were selected; neural activation, relative abundance of 21 microbial genes and two plasma metabolites (phenylalanine and tyrosine). Analyses will be conducted using a linear model (analysis of variance (ANOVA)/regression), in which the outcomes at T2 will be analysed in relation to changes in ADHD symptoms (response to FFD, expressed as percentage change and dichotomous), including the values at T1 as a covariate, in which all positive quantitative variables will be log-transformed. If the regression coefficient for the covariate is (close to) 0 or to -1, this model shows a direct relation between the outcome at T2 and the change in ADHD symptoms, respectively. Holm-Bonferroni-adjusted p values will be used based on a family-wise error rate of 0.05 and 25 tests.

Secondary analyses include (1) the analysis of the (multi) omics datasets, (2) the identification of biomarkers that



- The omics datasets will first be analysed separately in relation to ADHD symptoms changes using paired statistics (T1 and T2) per child; this way, each child provides his own control data so that effects of interindividual variation will not negatively impact on discriminative analysis power. Next, associations between different (omics) datasets will be examined using multiset analyses. For both types of analysis, we will use constrained ordination methods<sup>90</sup> with the outcomes at T1 and T2 as 'response data' and the ADHD symptoms change (continuous or dichotomous) as predictor of interest, child as factor covariate and significance tests based on permutation tests (eg, Canoco, 91 Vegan 92 and mixOmics). 93 For the statistical analysis of count-like compositional data, canonical correspondence analysis can be used. For the statistical analysis of continuous compositional data (with less than 1% zeroes), weighted Aitchison log-ratio analysis may be applied.<sup>94</sup> For continuous non-compositional data, constrained principal component analysis can be applied.
- Identification of biomarkers that can predict FFD outcome responses will be done via discriminant analyses for microbiota composition data (eg, LEfSe<sup>95</sup> and machine learning methods based on Lasso- and Elastic-net (eg, Glmnet, 96 and/or Random-Forest analysis<sup>97</sup> to search for correlations between microbiota and omics data.
- iii. A linear model (ANOVA/regression) will be applied for the other parameters, for example, ODD, CSBQ and PCQ questionnaires; the outcomes at T2 will be analysed in relation to ADHD symptoms changes, including the values at T1 as a covariate.

# Patient and public involvement

Patients were indirectly involved in the design of the study by means of collaboration with representatives of patient organisations. In fact, the research question was partly informed by patients' priorities. Many individuals with ADHD are struggling to find a suitable and effective therapy. The FFD has been shown to be very effective in a subset of individuals with ADHD, but this intervention requires a considerable effort of both the child and the parents. Therefore, one of the aims of this study is to find a suitable biomarker that can predict a favourable response to the FFD.

Several participants were actively involved in the recruitment for the study. Some participants shared their experiences with the FFD in a promotional video that leads to the recruitment of other participants. A number of participants were also interviewed by a national newspaper to share their experiences with the Biomarker Research in ADHD: the Impact of Nutrition (BRAIN) study and the FFD. Patients and public will not be involved in the actual conduct of the study. The results will be disseminated to study participants via a newsletter that is sent out four times per year with the most current updates on the study progress and results. If needed (eg, for a medical examination), study participants can request to receive a copy of their personal data. The burden of the diet intervention will be assessed during a weekly telephone interview with the parents of the participants. If the burden is too high, withdrawal from the study will be discussed.

### **Ethics and dissemination**

The investigators will comply with the principles of the Declaration of Helsinki (adopted by the 18th World Medical Association (WMA) General Assembly, Helsinki, Finland, June 1964, and lastly amended by the 64th WMA General Assembly, Fortaleza, Brazil, October 2013) and with the Medical Research Involving Human Subjects Act. All data collected during the study will be stored in a secure computer database and will be handled confidentially. Chance findings (potentially related to the child's health) will be evaluated by a multidisciplinary committee and communicated to the parents and the general practitioner.

There are no restrictions with respect to publication of the data. The funding institution has no role in study design, data collection, data analysis, data interpretation, writing of the manuscript or in the decision to submit for publication. Both positive and negative results of the study will be made public, preferably as open-access papers in peer-reviewed international scientific journals, according to the Central Committee on Research Involving Human Subjects statement of publication policy. Findings of this study will also be communicated with the general society, for example via presentations in medical centres and through (social) media and the BRAIN study website. The data will be anonymised and made publicly available after publication of results in peer-reviewed scientific journals.

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Contributors LMP initiated the study. LMP, KF, RRP, MK and PvB conceived and designed the study. SPWdV, LMP, RRP, CJFtB, EA, PvB, MK and KF wrote the research protocol. SH and TS wrote the manuscript based on the research protocol, and all authors were involved in editing and approval of the manuscript.

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Competing interests LMP works at the Pelsser RED Centrum supervising the diagnostic procedure to assess the effect of an FFD on the behaviour of children with ADHD.

Patient consent for publication Not required.

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